



**REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF)
Small Business Innovation Research (SBIR)/Small Business
Technology Transfer (STTR) Phase I Grant General Conditions (SBIR-1)**

EFFECTIVE JANUARY 25, 2016

Effective January 25, 2016, all new NSF Phase I SBIR/STTR grants and funding amendments, will incorporate by reference the Small Business Innovation Research/Small Business Technology Transfer Phase I *Grant General Conditions* (SBIR-1) dated 01/25/16.

Unless otherwise noted in a specific article, the [SBIR Phase I Grant General Conditions](#) apply to all new NSF Phase I SBIR/STTR grants and funding amendments to existing NSF grants awarded on or after January 25, 2016.

A comprehensive summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, at (703) 292-8243 or by e-mail to policy@nsf.gov.

Significant Changes to the NSF Grant General Conditions, dated 01/16

- **Public Access to Copyrighted Material, Article 26**, is an entirely new Article which implements the NSF Public Access Policy.
- **Animal Welfare, Article 37**, contains new requirements to include NSF-supported activities with live vertebrate animals in the grantee's OLAW Animal Welfare Assurance, as well as report situations involving NSF-supported animal activities to OLAW and the appropriate NSF staff.
- **Life Sciences Dual Use Research of Concern (DURC), Article 41**, is an entirely new Article that implements the *US Government Policy for Institutional Oversight of Life Sciences Dual Use Research of Concern*.

Clarifications and Other Changes to the NSF Grant General Conditions, dated 01/16

- **Overall document** has been modified to incorporate minor editorial changes to either clarify or enhance the intended meaning of a sentence or section or ensure consistency with other NSF policy documents. Internal and external references also have been updated.
- **Project Reporting Requirements, Article 15**, has updated terminology which states that annual project reports should be submitted no later than 90 days prior to the end of the current budget period. Final Project Reports and Project Outcomes Reports for the General Public should be submitted no later than 90 days following the end of the award.
- **Suspension or Termination, Article 33**, has been supplemented with language regarding NSF implementation of the Federal Awardee Performance and Integrity Information System.